FORM 3

# UNITED STATES SECURITIES AND EXCHANGE **COMMISSION**

Washington, D.C. 20549

OMB APPR	OVAL
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## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person*     Ye Jun			Requiring S (Month/Day	2. Date of Event Requiring Statement (Month/Day/Year) 02/10/2022  3. Issuer Name and Ticker or Trading Symbol Cepton, Inc. [ CPTN ]						
(Last) C/O CEPTO	(First) ON, INC.	(Middle)			4. Relationship of Reporting Issuer (Check all applicable)		,	5. If Amendment, Date of Original Filed (Month/Day/Year)		
399 W. TRI	MBLE RD				X Director X Officer (give title below)	Other below	(specify	(Ch	eck Applicable	int/Group Filing e Line) by One Reporting
(Street) SAN JOSE	CA	95131	=		uue below)	below		) X	Person	by More than One
(City)	(State)	(Zip)								
	Table I - Non-Derivative Securities Beneficially Owned									
1. Title of Security (Instr. 4)			E	2. Amount of Securities Beneficially Owned (Instr. I)	Form: I	vnership n: Direct r Indirect str. 5)  4. Nature of Indirect Beneficial Ownership (Instr. 5)				
Common Stock				20,818,496(1)	]	)				
Common Stock					2,449,235(1)		I See footnote.(2)			
Common Stock				2,449,235(1)		I See footnote.(3)				
Common Stock				200,000(1)		I See footnote. <sup>(4)</sup>				
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)										
		2. Date Exercisable and Expiration Date (Month/Day/Year)		Underlying Derivative Security (Instr. 4) Con		Conver or Exer	cise	5. Ownership Form:	Ownership (Instr.	
			Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Price o Derivat Securit	ive	Direct (D) or Indirect (I) (Instr. 5)	5)

#### **Explanation of Responses:**

- 1. (i) Pursuant to the Business Combination Agreement, dated as of August 4, 2021 (as amended by the Amendment to the Business Combination Agreement, dated as of January 21, 2022, and as it may be further amended or supplemented from time to time, the "Business Combination Agreement"), by and among Growth Capital Acquisition Corp. ("GCAC" and, upon consummation of the business combination, the surviving Issuer as renamed Cepton, Inc.), Cepton Technologies, Inc., a Delaware corporation ("Former Cepton"), and GCAC Merger Sub Inc., a Delaware corporation and a direct, wholly owned subsidiary of GCAC, on February 10, 2022 (the "Effective Date"), all shares of stock of Former Cepton were automatically converted into shares of the Issuer's Common Stock based on a 1-to-2.449 conversion ratio; and (ii) pursuant to the PIPE Subscription Agreement dated August 4, 2021, by and between the Ye-Wang Family Trust, dated December 8, 2020, and GCAC, on the Effective Date, 200,000 shares of GCAC Class A common stock were automatically converted into shares of the Issuer's Common Stock on a one-for-one basis, subject to adjustment for stock splits, stock dividends, reorganizations, recapitalizations and the like, and certain anti-dilution rights.
- 2. The shares of Common Stock are held by the Lynnelle Lin Ye Irrevocable Trust, dated December 8, 2020, established for the benefit of the reporting person's child (Lynnelle Lin Ye) and of which the reporting person is a trustee. The reporting person disclaims beneficial ownership of these shares of Common Stock, and the filing of this report is not an admission that the reporting person is the beneficial owner of the shares of Common Stock for purposes of Section 16 or for any other purpose.
- 3. The shares of Common Stock are held by the Brion Qi Ye Irrevocable Trust, dated December 8, 2020, established for the benefit of the reporting person's child (Brion Qi Ye) and of which the reporting person is a trustee. The reporting person disclaims beneficial ownership of these securities, and the filing of this report is not an admission that the reporting person is the beneficial owner of these securities for purposes of Section 16 or for any other purpose.
- 4. The shares of Common Stock are held by the Ye-Wang Family Trust, dated March 31, 2007, of which the reporting person is a trustee.

#### Remarks:

Exhibit List: Exhibit 24 - Power of Attorney

<u>/s/: Jinying (Jenny) Chen,</u> Attorney-in-Fact for Jun

02/11/2022

\*\* Signature of Reporting

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently val Number.	id OMB

#### **POWER OF ATTORNEY**

### FOR SEC FILINGS ON FORMS ID, 3, 4, 5 AND 144

The undersigned hereby constitutes and appoints each of Jinying (Jenny) Chen, Corporate Controller of Cepton, Inc., formerly known as Cepton Technologies, Inc. (the "Company"), so long as she is employed at the Company, Kimberly D. Grotenrath, of O'Melveny & Myers LLP ("OMM"), outside counsel to the Company, so long as she is employed at OMM, and Regina Braman, of OMM, outside counsel to the Company, so long as she is employed by OMM, as his true and lawful attorney-in-fact and agent (each, an "Attorney-In-Fact"), with full power of substitution and resubstitution for him and in his name and stead in any and all capacities, to sign and file for and on his behalf, in respect of any acquisition, disposition or other change in ownership of any of the securities of the undersigned, the following:

- (i) any Form ID to be filed with the Securities and Exchange Commission (the "SEC");
- (ii) any Initial Statement of Beneficial Ownership of Securities on Form 3 to be filed with the SEC;
- (iii) any Statement of Changes of Beneficial Ownership of Securities on Form 4 to be filed with the SEC;
- (iv) any Annual Statement of Beneficial Ownership of Securities on Form 5 to be filed with the SEC;
- (v) any Notice of Proposed Sale of Securities on Form 144 to be filed with the SEC; and
- (vi) any and all agreements, certificates, receipts, or other documents in connection therewith.

The undersigned hereby gives full power and authority to each Attorney-In-Fact to seek and obtain as his representative and on his behalf, information on transactions in the securities of the undersigned from any third party, including brokers, employee benefit plan administrators and trustees, and the undersigned hereby authorizes any such third party to release such information to each Attorney-In-Fact and approves and ratifies any such release of information.

The undersigned hereby grants unto each Attorney-In-Fact full power and authority to do and perform each and every act and thing requisite and necessary in connection with such matters and hereby ratifies and confirms all that any such Attorney-In-Fact or substitute may do or cause to be done by virtue hereof.

The undersigned acknowledges that:

- (i) neither the Company nor any Attorney-In-Fact assumes (i) any liability for the undersigned's responsibility to comply with the requirement of the Securities Exchange Act of 1934, as amended (the "Exchange Act"), (ii) any liability of the undersigned for any failure to comply with such requirements or (iii) any obligation or liability of the undersigned for profit disgorgement under Section 16(b) of the Exchange Act; and
- (ii) this Power of Attorney does not relieve the undersigned from responsibility for compliance with the undersigned's obligations under the Exchange Act, including without limitation the reporting requirements under Section 16 of the Exchange Act.

This Power of Attorney shall remain in full force and effect with respect to the undersigned until revoked by the undersigned in a signed writing delivered to each Attorney-In-Fact.

[Remainder of Page Intentionally Left Blank.]

	IN WITNESS WHEREOF, the undersigned has caused this Power of Attorney to be executed as of this 4 <sup>th</sup> day of October
2021.	

/s/ Jun Ye
Jun Ye

[Signature Page - Power of Attorney]