FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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|---|-------------|--------|-------|---|------|
| | Washington. | D.C. 2 | 20549 | | |

| OMB APPROVAL | | | | | | | | | |
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| OMB Number: | 3235-0287 | | | | | | | | |
| Estimated average | a bundan | | | | | | | | |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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|--------------------------|------|--|--|--|--|--|--|--|
| OMB Number: 3235-028 | | | | | | | | |
| Estimated average burden | | | | | | | | |
| hours per response: | 0.5 | | | | | | | |

| Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan for the purchase or sale of equity securities of the issuer that is intended to satisfy the affirmative |
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| |

| Name and Address of Reporting Person* | | | | | | 2. Issuer Name and Ticker or Trading Symbol Cepton, Inc. [CPTN] | | | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | | |
|--|--|----|--|--|--|--|---|--|---|--------------------|--|--|---|--|-----------------------------------|--|---------------------------------------|--|
| Pei Jun | | | | | ' | | | | | | | ✓ Dire | , | | Z 10% (| Owner | | |
| (Last) (First) (Middle) C/O CEPTON, INC. 399 W. TRIMBLE ROAD | | | | | 3. Date of Earliest Transaction (Month/Day/Year) 12/20/2024 | | | | | | | | Officer (give title Other (specify below) CEO and President | | | | | |
| (Street) SAN JOSE CA 95131 (City) (State) (Zip) | | | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Line) Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | | | | | porting Per | rson | | | | |
| | | | l - No | 1 | | | | _ | d, Dis | posed of | | | | | | | | |
| , (, | | | 2. Transaction Date (Month/Day/Year) | | 2A. Deemed Execution Date, if any (Month/Day/Year) | | 3. Transaction Code (Instr. 8) | | 4. Securities Acquired (Disposed Of (D) (Instr. 3 5) | | ed (A) or str. 3, 4 ar | Benefic | ies ially Following | Form | : Direct r Indirect str. 4) | 7. Nature of Indirect Beneficial Ownership | | |
| | | | | | | | | Code | v | Amount | (A) or (D) | Price | Transac (Instr. 3 | tion(s) | | | (Instr. 4) | |
| Common Stock ⁽¹⁾ 12/20 | | | | 12/20/2 | 024 12/20/2024 | | 20/2024 | F | | 2,343 | D | \$3.2 | 1 14 | 48,979 | | D | | |
| Common Stock | | | | | | | | | | | | | 19 | ,709 | | I | By spouse | |
| Common Stock | | | | | | | | | | | | | 2,44 | 15,426 | | | See footnote ⁽²⁾ | |
| | | Та | ble II | | | | | | | osed of, convertib | | | | d | | | | |
| 1. Title of Derivative Security (Instr. 3) | Conversion Date Exec or Exercise (Month/Day/Year) if an | | if any | eemed 4. Ition Date, Trans: Code h/Day/Year) 8) | | | | 6. Date Exerc Expiration Da (Month/Day/) | | ate | 7. Title and Amount of Securities Underlying Derivative Security (Instr 3 and 4) | | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | Beneficial Ownership (Instr. 4) | |
| | | | | | Code | v | (A) (D) | Date Exerci | isable | Expiration Date | Title | Amount or Number of Shares | | | | | | |

Explanation of Responses:

- 1. Reflects shares of Common Stock withheld by the Issuer to satisfy tax withholding obligations of the Reporting Person in connection with the vesting of 6,564 previously reported restricted stock units ("RSUs").
- 2. The shares of Common Stock are held by the Pei 2000 Trust, of which the Reporting Person is a trustee.

Remarks:

/s/ Jun Pei ** Signature of Reporting Person 12/23/2024

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.