SEC Form 3 FORM 3

UNITED STATES SECURITIES AND EXCHANGE

COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and A Anawalt F	2. Date of E Requiring S (Month/Day 04/18/202	tatement /Year)	3. Issuer Name and Ticker or Trading Symbol <u>Cepton, Inc.</u> [CPTN]								
(Last) C/O CEPTC 399 W. TRII (Street) SAN JOSE (City)	MBLE ROA	(Middle) D 95131 (Zip)			Issue (Cheo X	lationship of Reporting r :k all applicable) Director Officer (give title below) General Counsel at	10% C Other below)	wner (specify	Fileo 6. In	d (Month/Day/ adividual or Jo eck Applicable Form filed I Person	int/Group Filing Line) by One Reporting by More than One
Table I - Non-Derivative Securities Beneficially Owned											
1. Title of Security (Instr. 4)						ount of Securities cially Owned (Instr.			4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)											
			2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Se Underlying Derivative Sec (Instr. 4)		curity Convers		ise Form:	Ownership Form:	Ownership (Instr.
I I I I I I I I I I I I I I I I I I I		Date Exercisable	Expiratior Date	Title	•	Amount or Number of Shares				5)	

Explanation of Responses:

No securities are beneficially owned.

/s/ Paul Anawalt

Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

04/18/2022