FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington,	D.C. 20549
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Check this box if no longer subject
o Section 16. Form 4 or Form 5
obligations may continue. See

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person*     Pei Jun						2. Issuer Name <b>and</b> Ticker or Trading Symbol Cepton, Inc. [ CPTN ]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  X Director X 10% Owner					
(Last) C/O CEI	(Last) (First) (Middle) C/O CEPTON, INC.					3. Date of Earliest Transaction (Month/Day/Year) 05/13/2024								X Officer (give title Other (specify below)  CEO and President					
399 W. TRIMBLE ROAD						4. If Amendment, Date of Original Filed (Month/Day/Year)								Individual or Joint/Group Filing (Check Applicable Line)					
(Street) SAN JOS	SE CA	A 9	95131										X Form filed by One Reporting Person  Form filed by More than One Reporting Person						
(City)	(St	ate) (2	Zip)		Ru	Rule 10b5-1(c) Transaction Indication													
							saction was m ions of Rule 10				ruction or w	ritten pla	an that is in	tended to					
		Table	I - No	n-Deriva	ative \$	Secu	rities	Acc	uired	, Dis	posed of	, or B	enefici	ally Own	ed				
1. Title of Security (Instr. 3)  2. Transac Date (Month/Da				Execution Date,			Date,			s Acquired (A) or of (D) (Instr. 3, 4 and		nd Securiti Benefic Owned	5. Amount of Securities Beneficially Owned Following Reported		nership : Direct r Indirect str. 4)	7. Nature of Indirect Beneficial Ownership			
						Code	v	Amount	(A) o (D)	r Price	Transaction(s) (Instr. 3 and 4)				(Instr. 4)				
Common Stock <sup>(1)</sup> 05/13/2					2024	024			A		13,567	A	\$0	19	19,709		I	By spouse	
Common Stock														155	5,401		D		
Common Stock												2,44	2,445,426		I	See footnote <sup>(2)</sup>			
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																		
1. Title of Derivative Security (Instr. 3)	erivative Conversion Date Execution Date curity or Exercise (Month/Day/Year) if any			tion Date,	4. Transaction Code (Instr. 8)		of Deriv Secu Acqu (A) o Disport of (D	r osed ) r. 3, 4	Expira	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)		10. Ownershi Form: Direct (D) or Indirec (I) (Instr. 4	Beneficial Ownership (Instr. 4)	
					Code	v	(A)	(D)	Date Exercisable		Expiration Date	Title	or Number of Shares						

## **Explanation of Responses:**

## Remarks:

/s/ Jun Pei \*\* Signature of Reporting Person 05/14/2024

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

<sup>1.</sup> The Issuer awarded the Reporting Person's spouse, who is an employee of the Issuer, 13,567 time-based restricted stock units ("RSUs"), each of which represents a contingent right to receive one share of the Issuer's common stock, that will vest in three equal installments on May 20, 2025, May 20, 2026 and May 20, 2027, in each case subject to early termination and adjustment as provided in the applicable award agreement.

<sup>2.</sup> The shares of Common Stock are held by the Pei 2000 Trust, of which the reporting person is a trustee

<sup>\*\*</sup> Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).