SEC Form 3 FORM 3

## UNITED STATES SECURITIES AND EXCHANGE

COMMISSION

Washington, D.C. 20549

## OMB APPROVAL

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## **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

	Address of Rep tners Fund	-	2. Date of E Requiring S (Month/Day 02/10/202	itatement /Year)	3. Issuer Name <b>and</b> Ticker or Trading Symbol <u>Cepton, Inc.</u> [ CPTN ]					
(Last) 228 HAMII FLOOR (Street) PALO ALTO, (City)	(First) LTON AVE., CA (State)	(Middle) THIRD 94301 (Zip)	-		4. Relationship of Reportin Issuer (Check all applicable) Director Officer (give title below)	X 10% C	) wner (specify	File 6. I	d (Month/Day) ndividual or Jo leck Applicable Form filed Person	int/Group Filing e Line) by One Reporting by More than One
Table I - Non-Derivative Securities Beneficially Owned										
1. Title of Security (Instr. 4)					2. Amount of Securities Beneficially Owned (Instr. 4)	Form: I (D) or I			4. Nature of Indirect Beneficial Ownership (Instr. 5)	
Common Stock, par value \$0.00001 per share					16,088,422	I	D			
Table II - Derivative Securities Beneficially Owned(e.g., puts, calls, warrants, options, convertible securities)										
			2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of S Underlying Derivative S (Instr. 4)		Conversi or Exerci		se Form:	6. Nature of Indirect Beneficial Ownership (Instr.
			Date Exercisable	Expiratior Date	Title	Amount or Number of Shares	Price of Derivati Securit	ive	Direct (D) or Indirect (I) (Instr. 5)	5)

Explanation of Responses:

## **Remarks:**

LDV Partners I (GP), Ltd. is the general partnership that manages LDV Partners Fund I, L.P. Dr. Winston Fu is the managing member of LDV Partners I (GP), Ltd. Percentage ownership is based on 154,048,001 shares of common stock outstanding as of February 10, 2022, as reported in the Issuer's Current Report on Form 8-K filed with the Securities and Exchange Commission on February 10, 2022.

LDV Partners Fund I, L.P.	
<u>By: LDV Partners I (GP)</u> ,	
<u>Ltd. Its: General Partner</u>	02/17/
By: /s/ Winston Fu	02/17/
Name: Dr. Winston Fu	
Title: Managing Member	
** Signature of Reporting Person	Date

2022

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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